

SENATE No. 2183

The Commonwealth of Massachusetts

In the Year Two Thousand Nine

An Act to combat economic crime..

Be it enacted by the Senate and House of Representatives in General Court assembled, and by the authority of the same, as follows:

1 SECTION 1. The General Laws, as appearing in the 2008 Official Edition, are hereby
2 amended by adding after Chapter 267 the following new chapter: — Chapter 267A Money
3 Laundering.

4 Section 1. Definitions.

5 As used in this chapter, the following words shall, unless the context clearly requires
6 otherwise, have the following meanings:—

7 “Conducts”, initiates, concludes or participates in initiating or concluding in a
8 transaction.

9 “Criminal activity”, a criminal offense punishable under the laws of the commonwealth
10 by imprisonment in a state prison or a criminal offense committed in another jurisdiction
11 punishable under the laws of that jurisdiction as a felony.

12 “Transaction”, a purchase, sale, loan, pledge, gift, transfer, delivery, or other disposition,
13 and with respect to a financial institution includes a deposit, withdrawal, bailment, transfer

14 between accounts, exchange of currency, loan, extension of credit, purchase or sale of any stock,
15 bond, certificate of deposit, or other monetary instrument, use of a safe deposit box, or any other
16 payment, transfer, or delivery by, through, or to a financial institution, by whatever means
17 effected.

18 “Financial institution”, (a) any bank as defined in section one of chapter 167 ; (b) any
19 national banking association, bank, savings and loan, savings bank, cooperative bank, building
20 and loan, or credit union organized under the laws of the United States; (c) any banking
21 association, bank, savings and loan, savings bank, cooperative bank, building and loan or credit
22 union organized under the laws of any state; (d) any agency, agent, or branch of a foreign bank;
23 (e) any currency dealer or exchange; (f) any person or business engaged primarily in the cashing
24 of checks; (g) any person or business regularly engaged in the issuing, selling, or redeeming of
25 traveler's checks, money orders or similar instruments; (h) any broker or dealer in securities or
26 commodities; (i) any licensed transmitter of funds or other person or business regularly engaged
27 in the transmission of funds to a foreign nation for others; (j) any investment banker or
28 investment company; (k) any insurer; (l) any dealer in precious metals, stones or jewels; (m) any
29 pawnbroker or scrap metal dealer; (n) any telegraph or other communications company; (o) any
30 personal property or real estate broker; (p) any dealer in vehicles, including, but not limited to,
31 automobiles, aircraft and vessels; (q) any operator of a betting or gambling facility; (r) any travel
32 agent; (s) any thrift institution; (t) any operator of a credit card system; or (u) any loan or finance
33 company.

34 “Monetary instrument”, the currency and coin of the United States or any foreign
35 country; any bank check, money order, stock, investment security, or negotiable instrument in
36 bearer form or otherwise in such form that title passes upon delivery; gold, silver or platinum

37 bullion or coins; diamonds, emeralds, rubies, or sapphires; any negotiable instrument including:
38 bank checks, cashier's checks, traveler's checks, or monetary orders made payable to the order of
39 a named party that have not been endorsed or which bear restrictive endorsements; poker chips,
40 vouchers or other tokens exchangeable for cash by gaming entities; and credit cards, debit cards,
41 gift cards, gift certificates, calling cards, or scrips.

42 Section 2. Money Laundering.

43 Whoever knowingly:

44 (a) engages in a transaction involving a monetary instrument or other property known to
45 be derived from criminal activity with the intent to promote, carry on or facilitate criminal
46 activity, or knowing that the transaction is designed in whole or in part either to conceal or
47 disguise the nature, location, source, ownership or control of the property derived from criminal
48 activity or to avoid a transaction reporting requirement of this chapter, of the United States, or of
49 any other state;

50 (b) transports or possesses a monetary instrument or other property that was derived from
51 criminal activity; or

52 (c) directs, organizes, finances, plans, manages, supervises, or controls the transportation
53 of or transactions in monetary instruments or other property known to be derived from criminal
54 activity or which a reasonable person would believe to be derived from criminal activity; is
55 guilty of the crime of money laundering and shall be punished by imprisonment in the state
56 prison for not more than 6 years or by a fine of not more than \$250,000 or twice the value of the
57 property transacted, whichever is greater, or by both such imprisonment and fine; and for any
58 subsequent offense shall be punished by imprisonment in the state prison for not less than 2

59 years, but not more than 8 years or by a fine of not more than \$500,000 or three times the value
60 of the property transacted, whichever is greater, or by both such imprisonment and fine.

61 Section 3. Record Keeping.

62 (a) A financial institution shall file with the attorney general a copy of any and all reports
63 required by the Currency and Foreign Transactions Act, set forth in 31 U.S.C., sections 5311
64 through 5315, 31 C.F.R. 103. (b) A financial institution, or any officer, employee, or agent
65 thereof that keeps and files a record in reliance of this section shall not be liable to its customer,
66 to a state or local agency, or to any person for any loss or damage caused in whole or in part by
67 the making, filing, or governmental use of the report, or any information contained therein.
68 Nothing in this chapter shall be construed to give rise to a private cause of action for relief or
69 damages. This paragraph does not preclude a financial institution, in its discretion, from
70 instituting contact with, and thereafter communicating with and disclosing customer financial
71 records to appropriate federal, state, or local law enforcement agencies when the financial
72 institution has reason to suspect that the records or information demonstrate that the customer
73 has violated any provisions of this chapter. (c) Any report, record, or information obtained by the
74 attorney general pursuant to this section is not a public record and is not subject to disclosure,
75 except to other state and federal law enforcement agencies. (d) Any violation of this section,
76 which is not a violation of section 2, shall be punished by a fine of \$100 for each report not filed.

77 Section 4. Forfeiture.

78 All monetary instruments or other property, real or personal, obtained directly as a result
79 of a violation of section 2 of this chapter, shall be subject to forfeiture to the commonwealth.

80 SECTION 2. The General Laws, as appearing in the 2008 Official Edition, are hereby
81 amended by adding after Chapter 271 the following new chapter: — Chapter 271A Enterprise
82 Crime.

83 Section 1. Definitions.

84 As used in this chapter, the following words shall, unless the context clearly requires
85 otherwise, have the following meanings:—

86 “Enterprise”, any individual, sole proprietorship, partnership, corporation, trust or other
87 legal entity, or any unchartered union, association or group of persons associated in fact although
88 not a legally recognized entity, and includes unlawful as well as lawful enterprises and
89 governmental as well as other entities.

90 “Pattern of criminal enterprise activity”, engaging in at least two incidents of criminal
91 enterprise activity that have the same or similar pattern, intents, results, accomplices, victims or
92 methods of commission, or are otherwise interrelated by distinguishing characteristics and are
93 not isolated incidents, provided at least one of the acts occurred after the effective date of this
94 act, and the last of the incidents occurred within five years after a prior commission of criminal
95 enterprise activity.

96 “Criminal enterprise activity”, to commit, to attempt to commit, to conspire to commit, or
97 to solicit, coerce, aid, abet, or intimidate another to commit any of the following criminal activity
98 under the laws of the commonwealth or equivalent crimes under the laws of any other
99 jurisdiction: murder; rape; manslaughter; assault; assault and battery; mayhem; robbery;
100 extortion; stalking; criminal harassment; kidnapping; arson; burglary; malicious destruction of
101 property; commission of a felony for hire; breaking and entering; child exploitation; poison;

102 human trafficking; violation of constitutional rights; usury; uttering; misuse or fraudulent use of
103 credit cards; identity fraud; misappropriation of funds; gross fraud; insurance fraud; prize
104 fighting; boxing matches; counterfeiting; perjury; subornation of perjury; obstruction of justice;
105 money laundering; witness intimidation; bribery; electronic eavesdropping; prostitution;
106 receiving stolen property; larceny over \$250.00; larceny by false pretenses/embezzlement;
107 forgery; prohibited financial interest; procurement fraud; false claims; tax evasion; filing false
108 tax return; crimes involving violations of: gambling and lottery laws; gift laws; liquor laws;
109 tobacco laws; firearms laws; securities laws; lobbying laws; ethics laws; conflict of interest laws;
110 child and elder abuse laws; or any conduct defined as a racketeering activity under Title 18,
111 U.S.C. s. 1961(1)(A)(B) and (D).

112 “Unlawful debt”, a debt incurred or contracted in an illegal gambling activity or business
113 or which is unenforceable under state or federal law in whole or part as to principal or interest
114 because of the law relating to usury.

115 Section 2. Enterprise Crime.

116 Whoever knowingly:

117 (a) through a pattern of criminal enterprise activity or through the collection of an
118 unlawful debt, receives anything of value or acquires or maintains, directly or indirectly, any
119 interest in or control of any enterprise;

120 (b) has received any proceeds derived, directly or indirectly, from a pattern of criminal
121 enterprise activity or through the collection of an unlawful debt, to use or invest, directly or
122 indirectly, any part of the proceeds including proceeds derived from the investment, in the
123 acquisition of any interest in real property, or in the establishment or operation of, any enterprise;

124 (c) is employed by or associated with any enterprise to conduct or participate, directly or
125 indirectly, in the conduct of the enterprise's affairs by engaging in a pattern of criminal enterprise
126 activity or through the collection of an unlawful debt; or

127 (d) conspires or attempts to violate subsections (a), (b), or (c) of this section; is guilty of
128 enterprise crime and shall be punished by imprisonment in the state prison for not less than 3
129 years and not more than 15 years or by a fine of not more than \$25,000, or by both such
130 imprisonment and fine.

131 A purchase of securities on the open market for purposes of investment, and without the
132 intention of controlling or participating in the control of the issuer, or of assisting another to do
133 so, shall not be unlawful under this subsection (1) if the securities of the issuer held by the
134 purchaser, the members of his immediate family, and his or their accomplices in any pattern of
135 criminal activity or the collection of an unlawful debt after such purchase do not amount in the
136 aggregate to one percent of the outstanding securities of any one class and do not confer, either
137 in law or in fact, the power to elect one or more directors of the issuer.

138 Section 3. Forfeiture.

139 All monetary proceeds or other property, real or personal, obtained directly as a result of
140 a violation of this chapter, shall be subject to forfeiture to the commonwealth.

141 SECTION 3. The General Laws, as appearing in the 2008 Official Edition, are hereby
142 amended by striking out section 99 of Chapter 272 and inserting, in place thereof, the following
143 new section: —

144 Section 99. Wiretap and Electronic Surveillance

145 Section 1. Preamble

146 The purpose of this section is to provide a procedure for law enforcement agencies to
147 seek court-approved wire and surveillance orders that will keep pace with modern technology
148 and criminal techniques, while at the same time protecting individual rights and privacy.

149 Section 2. Definitions.

150 As used in this section, the following words shall, unless the context clearly requires
151 otherwise, have the following meanings:—

152 “Aggrieved person” means a person who was a party to any intercepted wire, oral, or
153 electronic communication or a person against whom the interception was directed.

154 “Attorney for the state” means the attorney general, any assistant attorney general
155 specially designated by the attorney general, any district attorney, or any assistant district
156 attorney specially designated by the district attorney authorized to commence and prosecute an
157 action under this section.

158 “Aural transfer” means a transfer containing the human voice at any point between and
159 including the point of origin and the point of reception.

160 “Communication common carrier” means any person engaged as a common carrier in
161 providing or operating wire or electronic communication facilities.

162 “Contents” when used with respect to any wire, oral, or electronic communication,
163 includes any information concerning the substance, purport, or meaning of that communication.

164 “Corporate and institutional trading partners” means financial institutions and general
165 business entities and corporations which engage in the business of cash and asset management,
166 asset management directed to custody operations, securities trading, and wholesale capital
167 markets including foreign exchange, securities lending, and the purchase, sale or exchange of
168 securities, options, futures, swaps, derivatives, repurchase agreements and other similar financial
169 instruments with such financial institution.

170 “Court of competent jurisdiction” means a superior court of the commonwealth.

171 “Electronic communication” means any transfer of signs, signals, writing, images,
172 sounds, data, or intelligence of any nature transmitted in whole or in part by a wire, radio,
173 electromagnetic, photo-electronic or photo-optical system, excluding:

174 (1) any wire or oral communication;

175 (2) any communication made through a tone-only paging device;

176 (3) any communication from a tracking device, defined as an electronic or mechanical
177 device which permits the tracking of the movement of a person or object; or

178 (4) electronic funds transfer information stored by a financial institution in a
179 communications system used for the electronic storage and transfer of funds.

180 “Electronic communication service” means any service which provides to its users the
181 ability to send or receive wire or electronic communications.

182 “Electronic communications system” means any wire, radio, electromagnetic, photo-
183 optical or photo-electronic facilities for the transmission of wire or electronic communications,

184 and any computer facilities or related electronic equipment for the electronic storage of such
185 communications.

186 “Electronic, mechanical, or other device” means any device or apparatus which can be
187 used to intercept a wire, oral, or electronic communication other than:

188 (1) any telephone or telegraph instrument, equipment or facility, or any component
189 thereof:

190 (A) furnished to the subscriber or user by a provider of wire or electronic communication
191 service or commercial entity in the ordinary course of its business, and being used by the
192 subscriber or user in the ordinary course of its business, or furnished by such subscriber or user
193 for connection to the facilities of such service and used in the ordinary course of its business; or

194 (B) being used by a provider of wire or electronic communication service in the ordinary
195 course of its business, or by an investigative or law enforcement officer in the ordinary course of
196 the officer’s duties; or

197 (C) a hearing aid or similar device being used to correct subnormal hearing to not better
198 than normal.

199 “Electronic storage” means:

200 (1) any temporary, intermediate storage of a wire or electronic communication incidental
201 to the electronic transmission thereof; and

202 (2) any storage of such communication by an electronic communication service for
203 purposes of backup protection of such communication.

204 “Financial institution” means a bank, as defined in section 1 of chapter 167 , and an
205 investment bank, securities broker, securities dealer, investment adviser, mutual fund, investment
206 company or securities custodian as defined in section 1.165-12(c)(1) of the United States
207 Treasury Regulations.

208 “Intercept” means the secret acquisition of aural or other secret acquisition of the
209 contents of any wire, electronic or oral communication through the use of any electronic,
210 mechanical, or other device; provided that it shall not constitute an interception for an
211 investigative or law enforcement officer, as defined in this section, to record or transmit a wire,
212 electronic or oral communication if the officer is a party to such communication or has been
213 given prior authorization to record or transmit the communication by such a party and if recorded
214 or transmitted in the course of an investigation of any offense described in section 7, and a
215 judicial official authorized to issue warrants pursuant to chapter 276 determines that there is
216 probable cause that evidence of such a crime will be recorded or transmitted. Any such warrant
217 shall be valid for no greater than 15 days from the date of issue.

218 “Investigative or law enforcement officer” means any officer of the federal government,
219 the state or political subdivision thereof, who is empowered by law to conduct investigations of
220 or to make arrests for offenses enumerated in this section, and any attorney authorized by law to
221 prosecute or participate in the prosecution of such offenses.

222 “Judge of competent jurisdiction” means any judge of the superior court of the
223 commonwealth.

224 “Oral communication” means any verbal communication uttered by a person exhibiting
225 an expectation that such communication is not subject to interception under circumstances
226 justifying such expectation. However, such term excludes any electronic communication.

227 “Pen register” means a device or process which records or decodes dialing, routing,
228 addressing, or signaling information transmitted by an instrument or facility from which a wire
229 or electronic communication is transmitted, provided, however, that such information shall not
230 include the contents of any communication. Such term excludes any device or process used by a
231 provider or customer of a wire or electronic communication service for billing, or recording as an
232 incident to billing, for communications services provided by such provider of any device used by
233 a provider, or any device or process used by a provider or customer of a wire or electronic
234 communication service for billing, cost accounting or other like purposes in the ordinary course
235 of its business.

236 “Person” means any employee, or agent of the United States or any state or political
237 subdivision thereof, and any individual, partnership, association, joint stock company, trust, or
238 corporation.

239 “Readily accessible to the general public” means, with respect to a radio communication,
240 that such communication is not:

241 (1) scrambled or encrypted;

242 (2) transmitted using modulation techniques whose essential parameters have been
243 withheld from the public with the intention of preserving the privacy of such communication;

244 (3) carried on a subcarrier or other signal subsidiary to a radio transmission;

245 (4) transmitted over a communication system provided by a common carrier, unless the
246 communication is a tone only paging system communication; or

247 (5) transmitted on frequencies allocated under part 25, subpart D, E, or F of part 74, or
248 part 94 of the Rules of the Federal Communications Commission, unless, in the case of a
249 communication transmitted on a frequency allocated under part 74 that is not exclusively
250 allocated to broadcast auxiliary services, the communication is a two-way voice communication
251 by radio.

252 “Trap and trace device” means a device or process which captures the incoming
253 electronic or other impulses which identify the originating number or other dialing, routing,
254 addressing, and signaling information reasonably likely to identify the source of a wire or
255 electronic communication, provided, however, that such information shall not include the
256 contents of any communication. Provided, however, that any caller identification device
257 lawfully installed shall be excluded from this definition.

258 “User” means any person or entity who:

259 (1) uses an electronic or wire communication service; and

260 (2) is duly authorized by the provider of such service to engage in such use.

261 “Wire communication” means any aural transfer made in whole or in part through the use
262 of facilities for the transmission of communications by the aid of wire, cable, or other like
263 connection between the point of origin and the point of reception, including the use of such
264 connection in a switching station, furnished or operated by any person engaged in providing or

265 operating such facilities for the transmission of intrastate, interstate or foreign communications
266 or communications affecting intrastate, interstate or foreign commerce.

267 Section 3. Unlawful Interception and Disclosure of Wire, Oral, or Electronic
268 Communications

269 (a) Except as provided in subsection (d), it is unlawful for a person to intentionally:

270 (1) intercept, endeavor to intercept, or procure any other person to intercept or endeavor
271 to intercept, any wire, oral, or electronic communication;

272 (2) use, endeavor to use, or procure any other person to use or endeavor to use any
273 electronic, mechanical, or other device to intercept any oral communication when:

274 (A) such device is affixed to, or otherwise transmits a signal through, a wire, cable, or
275 other like connection used in wire or electronic communications; or

276 (B) such device transmits communications by radio, or interferes with transmission of
277 such communication.

278 (3) disclose, or endeavor to disclose, to any other person the contents of any wire, oral, or
279 electronic communication, knowing or having reason to know that the information was obtained
280 through the interception of a wire, oral, or electronic communication in violation of this
281 subsection; or

282 (4) use, or endeavor to use, the contents of any wire, oral, or electronic communication,
283 knowing or having reason to know that the information was obtained through the interception of
284 a wire, oral, or electronic communication in violation of this subsection; or

285 (5) edit, alter or tamper with any tape, transcription or recording of wire, oral, or
286 electronic communications by any means, or attempt to edit, alter or tamper with any tape,
287 transcription or recording of wire, oral, or electronic communications by any means with the
288 intent to present in any judicial proceeding or proceeding under oath, or present such recording
289 or permit such recording to be presented in any judicial proceeding or proceeding under oath,
290 without fully indicating the nature of the changes made in the original state of the recording.

291 (b) Proof of the installation of any intercepting device by any person under circumstances
292 evincing an intent to commit an interception, which is not authorized or permitted by this
293 section, shall be prima facie evidence of a violation of this subsection.

294 (c) Any person who violates subsection (a) and any person who permits or on behalf of
295 any other person commits or attempts to commit, or any person who participates in a conspiracy
296 to commit or attempt to commit, or any accessory to a person who commits a violation of
297 subsection (a) shall be punished as provided in subsection (f) or shall be subject to suit as
298 provided in Section 17.

299 (d) It shall be lawful under this section for :

300 (1) an operator of a switchboard, or an officer, employee, or agent of a provider of wire
301 or electronic communication service, whose facilities are used in the transmission of a wire or
302 electronic communication, to intercept, disclose, or use that communication in the normal course
303 of that person's employment while engaged in any activity which is a necessary incident to the
304 rendition of that person's service or to the protection of the rights or property of the provider of
305 that service, or which is necessary to prevent the use of such facilities in violation of section
306 fourteen A of chapter two hundred and sixty-nine of the general laws; except that a provider of

307 wire or electronic communication service to the public shall not utilize service observing or
308 random monitoring except for mechanical or service quality control checks;

309 (2) (A) providers of wire or electronic communication service, their officers, employees,
310 and agents, landlords, custodians, or other persons, to provide information, facilities, or technical
311 assistance to persons authorized by law to intercept wire, oral, or electronic communications or
312 to conduct electronic surveillance, if such provider, its officers, employees, or agents, landlord,
313 custodian, or other specified person, has been provided with:

314 (i) a court order directing such assistance signed by the authorizing judge; or

315 (ii) a certification in writing by the attorney for the state that no warrant or court order is
316 required by law, that all statutory requirements have been met, and that the specified assistance is
317 required. The certification shall set forth the period of time during which the provision of
318 information, facilities, or technical assistance is authorized and specifying the information,
319 facilities, or assistance required;

320 (B) No provider of wire or electronic communication service, officer, employee, or agent
321 thereof, or landlord, custodian, or other specified person shall disclose the existence of any
322 interception or surveillance or the device used to accomplish the interception or surveillance with
323 respect to which the person has been furnished a court order or certification under this section,
324 except as may otherwise be required by legal process and then only after prior notification to the
325 attorney for the state as may be appropriate. Any such disclosure, shall render such person liable
326 for the civil damages provided for in section 17.

327 (C) No cause of action shall lie in any court against any provider of wire or electronic
328 communication service, its officers, employees, or agents, landlord, custodian, or other specified

329 person for providing information, facilities, or assistance in accordance with the terms of a court
330 order or certification under this section.

331 (3) a person to intercept or access an electronic communication made through an
332 electronic communication system that is configured so that such electronic communication is
333 readily accessible to the general public;

334 (4) a person to intercept any radio communication which is transmitted:

335 (A) by any station for the use of the general public, or that relates to ships,
336 aircraft, vehicles, or persons in distress;

337 (B) by any governmental, law enforcement, civil defense, private land mobile, or
338 public safety communications system, including police and fire, readily accessible to the general
339 public;

340 (C) by a station operating on an authorized frequency within the bands allocated
341 to the amateur, citizens band, or general mobile radio service; or

342 (D) by any marine or aeronautical communications system;

343 (5) a person to engage in any conduct which:

344 (A) is prohibited by Section 633 of the Communications Act of 1934; or

345 (B) is excepted from the applications of Section 705(a) of the Communications
346 Act of 1934 by Section 705(b) of that Act;

347 (6) a person to intercept any wire or electronic communication the transmission of which
348 is causing harmful interference to any lawfully operating station or consumer electronic
349 equipment, to the extent necessary to identify the source of such interference;

350 (7) other users of the same frequency to intercept any radio communication made through
351 a system that utilized frequencies monitored by individuals engaged in the provision or the use of
352 such system, if such communication is not scrambled or encrypted;

353 (8) a person to use a pen register or a trap and trace device in accordance with the
354 provisions defined in this section;

355 (9) a provider of electronic communication service to record the fact that a wire or
356 electronic communication was initiated or completed in order to protect such provider, another
357 provider furnishing service toward the completion of the wire or electronic communication, or a
358 user of that service, from fraudulent, unlawful or abusive use of such service;

359 (10) investigative and law enforcement officers of the United States of America to violate
360 the provisions of this section if acting pursuant to authority of the laws of the United States and
361 within the scope of their authority;

362 (11) any person duly authorized to make specified interceptions by a warrant issued
363 pursuant to this section;

364 (12) investigative or law enforcement officers to violate the provisions of this section for
365 the purposes of ensuring the safety of any law enforcement officer or agent thereof who is acting
366 in an undercover capacity, or as a witness for the commonwealth; provided, however, that any

367 such interception which is not otherwise permitted by this section shall be deemed unlawful for
368 purposes of section 9(o);

369 (13) a financial institution to record telephone communications with its corporate or
370 institutional trading partners in the ordinary course of its business; provided, however, that such
371 financial institution shall establish and maintain a procedure to provide semi-annual written
372 notice to its corporate and institutional trading partners that telephone communications over
373 designated lines will be recorded;

374 (14) a person acting under color of law to intercept the wire or electronic communications
375 of a computer trespasser transmitted to, through or from a computer, if:

376 (A) the owner or operator of the computer authorizes the interception of the
377 computer trespasser's communication on the computer;

378 (B) the person acting under color of law is lawfully engaged in an investigation;

379 (C) the person acting under color of law has reasonable grounds to believe that the
380 contents of the computer trespasser's communications will be relevant to the investigation; and

381 (D) such interception does not acquire communications other than those
382 transmitted to or from the computer trespasser;

383 (15) any investigative or law enforcement officer, specially designated by the Attorney
384 General or a District Attorney, who reasonably determines that an emergency situation exists that
385 involves immediate danger of death or serious physical injury to any person, and there are
386 grounds upon which an order could be entered under this section to authorize such interception,
387 may intercept such wire, oral, or electronic communication if an application for an order

388 approving the interception is made in accordance with this section within forty-eight hours after
389 the interception has occurred, or begins to occur. In the absence of an order, such interception
390 shall immediately terminate when the communication sought is obtained or when the application
391 for the order is denied, whichever is earlier. In the event such application for approval is denied,
392 the contents of any wire, oral, or electronic communication intercepted shall be subject to the
393 prohibitions set forth in section 6 and the civil remedies of section 17. No such violation shall be
394 subject to criminal penalties.

395 (16) for an employee of:

396 (A) an ambulance service licensed pursuant to the General Laws, a fire station
397 employing firefighters, as defined by the General Laws, a law enforcement agency as defined by
398 this section, or any other entity with published emergency telephone numbers; or

399 (B) an agency operating an emergency telephone number "911" system
400 established pursuant to the General Laws, to intercept and record incoming wire and electronic
401 communications; however, such employee may intercept and record incoming wire and
402 electronic communications to designated "911" telephone numbers and published non-
403 emergency telephone numbers staffed by trained dispatchers at public safety answering points
404 only. It is also lawful for such employee to intercept and record outgoing wire or electronic
405 communications to the numbers from which such incoming wire or electronic communications
406 were placed when necessary to obtain information required to provide the emergency services
407 being requested.

408 (e) (1) Except as provided in paragraph (2) of this subsection, a person or entity
409 providing an electronic communication service to the public shall not intentionally divulge the

410 contents of any communication, other than one to such person or entity, or an agent thereof,
411 while in transmission on that service to any person or entity other than an addressee or intended
412 recipient of such communication or an agent of such addressee or intended recipient.

413 (2) A person or entity providing electronic communication service to the public may
414 divulge the contents of any such communication:

415 (A) as otherwise authorized in subsection 3(d) or 8 of this section;

416 (B) with the lawful consent of the originator or any addressee or intended recipient of
417 such communication;

418 (C) to a person employed or authorized, or whose facilities are used, to forward such
419 communication to its destination; or

420 (D) which were inadvertently obtained by the service provider and which appear to
421 pertain to the commission of a crime, if such divulgence is made to a law enforcement agency.

422 (f) Except as otherwise specifically provided in this section, any person who willfully
423 commits an interception, attempts to commit an interception, or procures any other person to
424 commit an interception or to attempt to commit an interception of any wire, oral or electronic
425 communication shall be fined not more than ten thousand dollars, or imprisoned in the state
426 prison for not more than five years, or imprisoned in a jail or house of correction for not more
427 than two and one half years, or both so fined and given one such imprisonment.

428 Section 4. Unlawful Manufacture, Distribution, Possession, and Advertising of Wire,
429 Oral, or Electronic Communication Intercepting Devices.

430 (a) Except as provided in subsection (e), it is unlawful for any person to intentionally:

431 (1) transport or transmit any electronic, mechanical, or other device, knowing or
432 having reason to know that the design of such device renders it primarily useful for the purpose
433 of the surreptitious interception of wire, oral, or electronic communications, or knowing or
434 having reason to know that the device is intended for surreptitious interception of wire, oral, or
435 electronic communications; or

436 (2) manufacture, assemble, possess, or sell any electronic, mechanical, or other
437 device, knowing or having reason to know that the design of such device renders it primarily
438 useful for the purpose of the surreptitious interception of wire, oral, or electronic
439 communications, or knowing or having reason to know that the device is intended for
440 surreptitious interception of wire, oral, or electronic communications; or

441 (3) place in any newspaper, magazine, handbill, or other publication any
442 advertisement of:

443 (A) any electronic, mechanical, or other device, knowing or having reason to know that
444 the design of such device renders it primarily useful for the purpose of surreptitious interception
445 of wire, oral, or electronic communications, or knowing or having reason to know that the device
446 is intended for surreptitious interception of wire, oral, or electronic communications; or

447 (B) any other electronic, mechanical, or other device, where such advertisement promotes
448 the use of such device for the purpose of the surreptitious interception of wire, oral, or electronic
449 communications.

450 (b) A person who violates subsection (a) shall be fined not more than \$10,000, or
451 imprisoned not more than five years in state prison or not more than two and one half year in a
452 jail or house of correction, or both such fine and imprisonment.

453 (c) The installation of any such intercepting device by such person or with his permission
454 or at his direction shall be prima facie evidence of possession as required by subsection (a).

455 (d) Any person who permits or on behalf of any other person commits or attempts to
456 commit, or any person who participates in a conspiracy to commit or attempt to commit, or any
457 accessory to a person who commits a violation of subsection (a) shall be punished in the same
458 manner as is provided for the respective offenses as described in subsection (b).

459 (e) Notwithstanding subsection (a), it shall be lawful for a person to transport, or
460 manufacture, assemble, possess, or sell any electronic, mechanical, or other device, knowing or
461 having reason to know that the design of such device renders it primarily useful for the purpose
462 of the surreptitious interception of wire, oral, or electronic communications, or knowing or
463 having reason to know that the device is intended for surreptitious interception of wire, oral, or
464 electronic communications, if the person is:

465 (1) a provider of wire or electronic communication service or an officer, agent, or
466 employee of, or a person under contract with, such a provider, in the normal course of the
467 business of providing that wire or electronic communication service; or

468 (2) an officer, agent, or employee of, or a person under contract with, bidding
469 upon contracts with, or in the course of doing business with, the United States, a state, or a
470 political subdivision thereof, in the normal course of the activities of the United States, a state, or
471 a political subdivision thereof.

472 Section 5. Confiscation of Wire, Oral, or Electronic Communication Interception
473 Devices.

474 Upon conviction of a violation of this section, any electronic, mechanical, or other device
475 used, sent, carried, manufactured, assembled, possessed or sold in violation of this section may
476 be confiscated by the commonwealth and forwarded, by the authority of the written order of the
477 court to the colonel of the state police, who shall destroy said article.

478 Section 6. Prohibition of Use as Evidence of Intercepted Wire, Oral or Electronic
479 Communications.

480 No part of the contents of any wire, oral or electronic communication intercepted in
481 violation of this section, and no evidence derived therefrom, may be received in evidence in any
482 trial, hearing, or other proceeding in or before any court, grand jury, department, officer, agency,
483 regulatory body, legislative committee, or other authority of this state, or political subdivision
484 thereof, if the disclosure of that information would be in violation of this section unless a judge
485 determines, pursuant to section 9(o) of this act or because it is in the interest of justice, that
486 exclusion from evidence is not required.. The prohibition of use as evidence provided in this
487 section does not apply in cases of prosecution for criminal interception in violation of the
488 provisions of this section.

489 Section 7. Authorization for Interception of Wire, Oral, or Electronic Communications.

490 (a) The attorney for the state may authorize an application to a judge of competent
491 jurisdiction for, and such judge may grant in conformity with section 9 of this act an order
492 authorizing the interception of wire, oral or electronic communications by an investigative or law
493 enforcement officer, or an agency having responsibility for the investigation of the offense as to
494 which the application is made, when such interception may provide or has provided evidence of:

495 (1) any offense which involves murder, kidnapping, robbery, or extortion;

496 (2) any of the following offenses: arson, assault and battery with a dangerous
497 weapon, a violation of section 13 A(b) of section two hundred and sixty-five, bribery, a violation
498 of section 2 of chapter two hundred sixty-eight A, burglary, misuse of credit cards or fraudulent
499 use of credit cards to obtain money, goods or services, malicious destruction of property,
500 embezzlement, enterprise crime, escape, throwing or placing explosives at or near persons or
501 property, illegal possession or storage of explosives, possession of infernal machines, forgery,
502 gaming violations, identity fraud in violation of section 37E of chapter two hundred sixty-six of
503 the general laws, indecent assault and battery, insurance fraud, intimidation of witnesses or jurors
504 or persons furnishing information in connection with criminal proceedings, larceny, lending of
505 money or things of value in violation of the general laws, mayhem, money laundering, perjury,
506 subornation of perjury, prostitution, rape, receiving stolen property, communicating terroristic
507 threats, possessing or using chemical, biological or nuclear weapons, possession or use of hoax
508 substances crimes involving violations of: gambling and lottery laws, gift laws, liquor laws,
509 tobacco laws, firearms laws, securities laws, lobbying laws, ethics laws, or conflict of interest
510 laws.

511 (3) any offense involving the possession or distribution of a narcotic drug,
512 marijuana, or other dangerous drug;

513 (4) coercion of child under eighteen into criminal conspiracy, inducing person
514 under eighteen to have sexual intercourse, possession or dissemination of matter harmful to
515 minors, posing or exhibiting child in state of nudity or sexual conduct, dissemination of visual
516 material of child in state of nudity or sexual conduct, purchase or possession of visual material of
517 child depicted in sexual conduct;

518 (5) any offense punishable by imprisonment for more than one year involving the
519 possession or distribution of firearms;

520 (6) any accessory to any offense described in this act or any conspiracy or attempt
521 or solicitation to commit any offense described in this act;

522 (7) the location of any fugitive from justice from an offense described in this
523 subsection

524 Section 8. Authorization for Disclosure and Use of Intercepted Wire, Oral, and Electronic
525 Communications.

526 (a) Any investigative or law enforcement officer who, by any means authorized by this
527 section, has obtained knowledge of the contents of any wire, oral, or electronic communication,
528 or evidence derived therefrom, may:

529 (1) disclose such contents to another investigative or law enforcement officer to
530 the extent that such disclosure is appropriate to the proper performance of the official duties of
531 the officer making or receiving the disclosure; or

532 (2) use such contents to the extent such use is appropriate to the proper
533 performance of the officer's official duties.

534 (b) Any person who has received, by any means authorized by this section, any
535 information concerning a wire, oral, or electronic communication, or evidence derived
536 therefrom, intercepted in accordance with the provisions of this section may disclose the contents
537 of that communication or such derivative evidence while giving testimony under oath or

538 affirmation in any proceeding in any court of the United States or of any state or in any federal or
539 state grand jury proceeding.

540 (c) No otherwise privileged wire, oral, or electronic communication intercepted in
541 accordance with, or in violation of, the provisions of this section shall lose its privileged
542 character.

543 (d) Except as otherwise specifically provided in this section, any person who willfully
544 discloses to any person, any information concerning or contained in, the application for, the
545 granting or denial of orders for interception, renewals, notice or return on an ex parte order
546 granted pursuant to this section, or the contents of any document, tape, or recording kept in
547 accordance with Section 9 (m), shall be guilty of a misdemeanor punishable by imprisonment in
548 a jail or house of correction for not more than two years or by a fine of not more than five
549 thousand dollars or both.

550 Section 9. Procedure for Interception of Wire, Oral, or Electronic Communications

551 (a) An application for a warrant authorized by this section must be made by an attorney
552 for the state to a judge of competent jurisdiction in the county where the interception is to occur,
553 or the county where the office of the applicant is located, or in the event that there is no judge of
554 competent jurisdiction sitting in said county at such time, to a judge of competent jurisdiction
555 sitting in Suffolk County; except that for these purposes, the office of the attorney general shall
556 be deemed to be located in Suffolk County.

557 (b) Each application for an order authorizing or approving the interception of a wire, oral,
558 or electronic communication under this section shall be made in writing upon oath or affirmation
559 and shall state:

560 (1) the identity of the investigative or law enforcement officer making the
561 application, and the officer authorizing the application;

562 (2) the applicant's authority to make such application;

563 (3) fully and completely the facts and circumstances relied upon by the applicant,
564 to justify the applicant's belief that an order should be issued, including:

565 (A) details as to the particular offense that has been, is being, or is about to
566 be committed;

567 (B) except as provided in subsection (p) of this section, a description of
568 the nature and location of the facilities from which or the place where the communication is to be
569 intercepted;

570 (C) a particular description of the type of communications sought to be
571 intercepted and that such communications are not legally privileged; and

572 (D) the identity of the person, if known, committing the offense and whose
573 communications are to be intercepted.

574 (4) whether or not other investigative procedures have been tried and failed or
575 why they reasonably appear unlikely to succeed if tried or otherwise might be too dangerous;

576 (5) the period of time for which the interception is required to be maintained. If
577 the nature of the investigation is such that the authorization for the interception should not
578 automatically terminate when the described oral, wire, or electronic communications have been
579 first obtained, the application must specifically state facts establishing probable cause to believe
580 that additional oral, wire, or electronic communications of the same nature will occur thereafter;

581 (6) the facts concerning all previous applications known to the individual
582 authorizing and making the application, made to any judge for authorization to intercept, or for
583 approval of interceptions of, wire, oral, or electronic communications involving any of the same
584 persons, facilities or places specified in the application, and the action taken by the judge on each
585 such application;

586 (7) where the application is for the extension of an order, the results thus far
587 obtained from the interception, or a reasonable explanation of the failure to obtain such results;
588 and

589 (8) if it is reasonably necessary to make a secret entry upon a private place and
590 premises in order to install an intercepting device to effectuate the interception, a statement to
591 such effect.

592 (c) The judge may require the applicant to furnish additional testimony or documentary
593 evidence in support of the application. A verbatim transcript of every such interrogation or
594 examination must be taken, and a transcription of the same, sworn to by the stenographer, shall
595 be attached to the application and be deemed a part thereof.

596 (d) Upon such application the judge may enter an ex parte order, as requested or as
597 modified, authorizing or approving interception of wire, oral, or electronic communications
598 within the state, if the judge determines on the basis of the facts submitted by the applicant that:

599 (1) there is probable cause for belief that an individual is committing, has
600 committed, or is about to commit a particular offense enumerated in section 7 of this act;

601 (2) there is probable cause for belief that particular communications concerning
602 that offense will be obtained through such interception;

603 (3) normal investigative procedures have been tried and failed or reasonably
604 appear unlikely to succeed if tried or may otherwise be too dangerous; and

605 (4) except as provided in subsection (p), there is probable cause for belief that the
606 facilities from which, or the place where, the wire, oral, or electronic communications are to be
607 intercepted are being used, or are about to be used, in connection with the commission of such
608 offense, or are leased to, listed in the name of, or commonly used by such person.

609 (e) Each order authorizing or approving the interception of any wire, oral, or electronic
610 communication under this section shall specify:

611 (1) the subscription and title of the issuing judge;

612 (2) the identity of the person, if known, whose communications are to be
613 intercepted;

614 (3) the nature and location of the communications facilities as to which, or the
615 place where, authority to intercept is granted;

616 (4) a particular description of the type of communication sought to be intercepted,
617 and a statement of the particular offense to which it relates;

618 (5) the identity of the agency authorized to intercept the communications, and of
619 the person authorizing the application;

620 (6) the period of time during which such interception is authorized; and

621 (7) an express authorization to make secret entry upon a private place or premises
622 to install a specified intercepting device, if such entry is necessary to execute the warrant.

623 (f) An order authorizing the interception of a wire, oral, or electronic communication
624 under this section shall, upon request of the applicant, direct that a provider of wire or electronic
625 communication service, landlord, custodian, or other person shall furnish the applicant forthwith
626 all information, facilities, and technical assistance necessary to accomplish the interception
627 unobtrusively and with a minimum of interference with the services that such service provider,
628 landlord, custodian, or person is according the person whose communications are to be
629 intercepted. Any provider of wire or electronic communication service, landlord, custodian or
630 other person furnishing such facilities or technical assistance shall be compensated therefor by
631 the applicant for reasonable expenses incurred in providing such facilities or assistance.

632 (g) An order entered under this section may authorize or approve the interception of any
633 wire, oral, or electronic communication for the shorter of 30 days or the period necessary to
634 achieve the objective of the authorization. Such 30 day period begins on the earlier of the day on
635 which the investigative or law enforcement officer first begins to conduct an interception under
636 the order or ten days after the order is entered, whichever occurs earliest. Extensions of an order
637 may be granted only upon application for an extension made in accordance with subsection (b)
638 of this section and the court making the findings required by subsection (d) of this section. The
639 period of extension shall be the shorter of 30 days or the time the authorizing judge deems
640 necessary to achieve the purposes for which it was granted. Every order and extension thereof
641 shall contain a provision that the authorization to intercept shall be executed as soon as
642 practicable, shall be conducted in such a way as to minimize the interception of communications
643 not otherwise subject to interception under this section, and must terminate upon the earlier of 30

644 days or the attainment of the authorized objective. In the event the intercepted communication is
645 in a code or a foreign language, and an expert in that foreign language or code is not reasonably
646 available during the interception period, minimization may be accomplished as soon as
647 practicable after such interception of the communication in full.

648 (h) An interception under this section may be conducted in whole or in part by federal,
649 state, county or municipal personnel, or by an individual operating under a contract with the
650 state, county or municipality acting under the supervision of an investigative or law enforcement
651 officer authorized to conduct the interception.

652 (i) Whenever an order authorizing interception is entered pursuant to this section, the
653 order may require reports to be made to the judge who issued the order showing what progress
654 has been made toward achievement of the authorized objective and the need for continued
655 interception. Such reports shall be made at intervals as the judge may require.

656 (j) Notwithstanding any other provision of this section, any investigative or law
657 enforcement officer, specially designated by the attorney for the state, may intercept a wire, oral,
658 or electronic communication prior to issuance of an order approving the interception if the
659 officer reasonably determines that:

660 (A) an emergency situation exists that involves immediate danger of death or
661 serious physical injury to any person or the danger of escape of a prisoner; and there are grounds
662 upon which an order could be entered under this section to authorize such interception; and

663 (B) an application for an order approving the interception is made in accordance
664 with this section within 48 hours after the interception has occurred, or begins to occur.

665 (k) In the absence of an order approving an interception described in subsection (j), such
666 interception shall immediately terminate upon the earlier of obtainment of the communication
667 sought or denial of the application.

668 (l) In the event an application for approval of an interception described in subsection (j) is
669 denied, or in any other case where the interception is terminated without an order having been
670 issued, the contents of any wire, oral, or electronic communication intercepted shall be subject to
671 the prohibitions set forth in section 6 and the civil remedies of section 17. No such violation
672 shall be subject to criminal penalties.

673 (m) (1) The contents of any wire, oral, or electronic communication intercepted by any
674 means authorized by this section shall, if possible, be recorded on tape or wire or other
675 comparable device. Upon examination of the return and a determination that it complies with
676 this section, the issuing judge shall forthwith order that the application, all renewal applications,
677 warrant, all renewal orders and the return thereto be transmitted to the chief justice by such
678 persons as he shall designate. The application, all renewal applications, warrant, all renewal
679 orders and the return shall be stored in a secure place which shall be designated by the chief
680 justice, to which access shall be denied to all persons except the chief justice or such court
681 officers or administrative personnel of the court as he shall designate.

682 The recordings shall not be destroyed except upon an order of the issuing or denying
683 judge and in any event shall be kept for ten years. Notice prior to the destruction shall be given
684 to the applicant attorney general or his successor or the applicant district attorney or his
685 successor and upon a showing of good cause to the chief justice, the application, warrant,
686 renewal and return may be kept for such additional period as the chief justice shall determine but

687 in no event longer than the longest period of limitation for any designated offense specified in
688 the warrant, after which time they must be destroyed by a person designated by the chief justice.
689 Duplicate recordings may be made for use or disclosure pursuant to the provisions of section 8(a)
690 or (b) of this section

691 (2) Applications made and orders granted under this section shall be sealed by the judge.
692 Such applications and orders shall be disclosed only upon a showing of good cause before a
693 judge of competent jurisdiction and shall not be destroyed except on order of the issuing or
694 denying judge, and in any event shall be kept for ten years.

695 (3) Except as otherwise provided in subparagraph (a), within a reasonable time, not to
696 exceed 90 days, after the filing of an application for an order of approval under subsection (1)
697 which is denied, or the termination of the period of an order or extensions thereof, an
698 investigative or law enforcement officer of the commonwealth shall serve an attested copy of the
699 warrant or the renewal on the persons named in the warrant, and such other aggrieved persons
700 who shall reasonably be known to the person who obtained the warrant as a result of information
701 obtained from an authorized interception. The attested copy of the warrant shall be served by
702 leaving the same at his usual place of abode, or in hand, or if this is not possible by mailing the
703 same by certified or registered mail to his last known place of abode. A return of service shall be
704 made to the issuing judge, except, that if such service is postponed as provided in this
705 subparagraph, it shall be made to the chief justice. The return of service shall be deemed a part
706 of the return of the warrant and attached thereto.

707 (a) Upon an ex parte showing of important special facts which set forth the need for
708 continued secrecy to the satisfaction of the issuing judge, said judge may direct that the attested

709 copy of the warrant be served on such parties as are required by this subsection at such time as
710 may be appropriate in the circumstances but in no event may he it to be served later than three
711 years from the time of expiration of the warrant or the last renewal thereof.

712 (b) The judge, upon the filing of a motion, may make available to such person or such
713 person's counsel for inspection such portions of the intercepted communications, applications
714 and orders as the judge determines to be in the interest of justice.

715 (n) The contents of any wire, oral or electronic communication intercepted pursuant to
716 this section, or evidence derived therefrom, shall not be received in evidence or otherwise
717 disclosed in any trial, hearing, or other proceeding in a court of the commonwealth unless each
718 party, not less than ten days before the trial, hearing, or proceeding, has been furnished with a
719 copy of the court order and accompanying application under which the interception was
720 authorized or approved and a complete copy of each recording or a statement under oath of the
721 evidence overheard as a result of the transmission which the commonwealth intends to offer in
722 evidence. This ten day period may be waived by the judge if the judge finds that it was not
723 possible to furnish the party with the above information ten days before the trial, hearing or
724 proceeding and that the party will not be prejudiced by the delay in receiving such information.

725 (o) Any aggrieved person who is a party in any trial, hearing, or proceeding in or before
726 any court, department, officer, agency, regulatory body, or other authority of this state, or a
727 political subdivision thereof, may move to suppress the contents of any wire, oral or electronic
728 communication intercepted pursuant to this section, or evidence derived therefrom, on the
729 grounds that:

730 (1) the communication was unlawfully intercepted;

731 (2) the application or renewal failed to set forth facts sufficient to establish
732 probable cause for the issuance of the warrant;

733 (3) the order of authorization or approval under which it was intercepted is
734 insufficient on its face or does not conform with the provisions of this chapter; or

735 (4) the interception was not made in conformity with the order of authorization or
736 approval.

737 Such motion shall be made before the trial, hearing, or proceeding unless there was no
738 opportunity to make such motion or the person was not aware of the grounds of the motion. If
739 the motion is granted, the contents of the intercepted wire or oral communication, or evidence
740 derived therefrom, shall be suppressed.

741 (p) The requirements of subsection (d)(4) of this section relating to the specification of
742 the facilities from which, or the place where, the communication is to be intercepted are
743 inapplicable if:

744 (1) in the case of an application with respect to the interception of an oral
745 communication:

746 (A) the application is by an investigative or law enforcement officer and is
747 approved by the attorney for the state;

748 (B) the application contains a full complete statement as to why such
749 specification is not practical and identifies the person committing the offenses and whose
750 communications are to be intercepted; and

751 (C) the judge finds that such specification is not practical; and

752 (2) in the case of an application with respect to a wire or electronic communication:

753 (A) the application is by an investigative or law enforcement officer and is
754 approved by the attorney for the state;

755 (B) the application identifies the person believed to be committing the offense and
756 whose communications are to be intercepted and the applicant makes a showing of a purpose, on
757 the part of that person, to thwart interception by changing facilities; and

758 (C) the judge finds that such purpose has been adequately shown.

759 (q) An interception of a communication under an order to which the requirements of
760 subsection (d)(4) of this section do not apply by reason of subsection (p) shall not begin until the
761 facilities from which, or the place where, the communication is to be intercepted is ascertained
762 by the person implementing the interception order. A provider of wire or electronic
763 communication service that has received an order as provided for in subsection (p)(2) may move
764 the court to modify or quash the order on the ground that its assistance with respect to the
765 interception cannot be performed in a timely or reasonable fashion. The court, upon notice to the
766 state, shall decide such a motion expeditiously.

767 Section 10. Warrant Return

768 Within seven days after termination of the warrant or the last renewal thereof, a return
769 must be made thereon to the judge issuing the warrant by the applicant therefor, containing the
770 following:

771 (a) A statement of the nature and location of the communications facilities, if any, and
772 premise or places where the interceptions were made; and

- 773 (b) The periods of time during which such interceptions were made; and
- 774 (c) The names of the parties to the communications intercepted if known; and
- 775 (d) The original recording of the oral, wire or electronic communications intercepted, if
776 any; and
- 777 (e) A statement attested under the pains and penalties of perjury by each person who
778 heard oral or wire communications as a result of the interception authorized by the warrant,
779 which were not recorded, stating everything that was overheard to the best of his recollection at
780 the time of the execution of the statement.

781 Section 11. General Prohibition on Pen Register and Trap and Trace Device Use;
782 Exceptions.

783 (a) Except as provided in section 15(b) of this act, no person may install or use a pen
784 register or a trap and trace device without first obtaining a court order under section 12 of this
785 act.

786 (b) The prohibition of subsection (a) is inapplicable with respect to the use of a pen
787 register or a trap and trace device by a provider of electronic or wire communication service:

788 (1) relating to the operation, maintenance, and testing of a wire or electronic
789 communication service or to the protection of the rights or property of such provider, or to the
790 protection of users of that service from abuse of service or unlawful use of service; or

791 (2) to record the fact that a wire or electronic communication was initiated or
792 completed in order to protect such provider, another provider furnishing service toward the

793 completion of the wire or electronic communication, or a user of that service, from fraudulent,
794 unlawful or abusive use of service; or

795 (3) where the consent of the user of that service has been obtained.

796 (c) A government agency authorized to install and use a pen register or trap and trace
797 device under sections 11 through 15 shall use technology reasonably available to it that restricts
798 the recording or decoding of electronic or other impulses to the dialing, routing, addressing, and
799 signaling information utilized in the processing and transmitting of wire or electronic
800 communications so as not to include the contents of any wire or electronic communications.

801 (d) A person who knowingly violates subsection (a) shall be fined not more than
802 \$5,000.00 for each violation, or imprisoned in a jail or house of correction for not more than one
803 year, or both such fine and imprisonment.

804 Section 12. Application for an Order for a Pen Register or Trap and Trace Device.

805 (a) A state investigative or law enforcement officer authorized by the attorney for the
806 state may make application in writing under oath or equivalent affirmation to a court of
807 competent jurisdiction for an order or an extension of an order under section 13 of this section
808 authorizing or approving the installation and use of a pen register or a trap and trace device under
809 this section.

810 (b) An application under subsection (a) shall include:

811 (1) the identity of the attorney for the state or the law enforcement or investigative
812 officer making the application and the identity of the law enforcement agency conducting the
813 investigation; and

814 (2) a certification under oath by the applicant that the information likely to be
815 obtained is relevant to an ongoing criminal investigation being conducted by that agency.

816 Section 13. Issuance of an Order for a Pen Register or a Trap and Trace Device.

817 (a) In general:

818 (1) Upon an application made under section 12, the court shall enter an ex parte order
819 authorizing the installation and use of a pen register or trap and trace device within the
820 jurisdiction of the court, if the court finds that the State law enforcement or investigative officer
821 has certified to the court that the information likely to be obtained by such installation and use is
822 relevant to an ongoing criminal investigation.

823 (2) (A) Where the law enforcement agency implementing an ex parte order under this
824 subsection seeks to do so by installing and using its own pen register or trap and trace device on
825 a packet-switched data network of a provider of electronic communication service to the public,
826 the agency shall ensure that a record will be maintained which will identify:

827 (i) any officer or officers who installed the device and any officer or officers who
828 accessed the device to obtain information from the network;

829 (ii) the date and time the device was installed, the date and time the device was
830 uninstalled, and the date, time, and duration of each time the device is accessed to obtain
831 information;

832 (iii) the configuration of the device at the time of its installation and any
833 subsequent modification thereof; and

834 (iv) any information which has been collected by the device.

835 To the extent that the pen register or trap and trace device can be set automatically to
836 record this information electronically, the record shall be maintained electronically throughout
837 the installation and use of such device.

838 (B) The record maintained under subparagraph (A) shall be provided ex parte and under
839 seal to the court which entered the ex parte order authorizing the installation and use of the
840 device within 30 days after termination of the order (including any extensions thereof). Upon
841 examination of the return and a determination that it complies with this section, the issuing judge
842 shall forthwith order that the application, all renewal applications, warrant, all renewal orders
843 and the return thereto be transmitted to the chief justice by such persons as he shall designate.
844 Their contents shall not be disclosed except as provided in this section. The application, renewal
845 application(s), warrant(s), the renewal order(s) and the return or any one of them or any part of
846 them may be transferred to any trial court, grand jury proceeding of any jurisdiction by any law
847 enforcement or investigative officer or court officer designated by the chief justice and a trial
848 justice may allow them to be disclosed in accordance with section 8.

849 The application, all renewal applications, warrant, all renewal orders and the return shall
850 be stored in a secure place which shall be designated by the chief justice, to which access shall
851 be denied to all persons except the chief justice or such court officers or administrative personnel
852 of the court as he shall designate.

853 Any violation of the terms and conditions of any order of the chief justice, pursuant to the
854 authority granted in this paragraph, shall be punished as a criminal contempt of court in addition
855 to any other punishment authorized by law.

856 (b) An order issued under this section:

857 (1) shall specify:

858 (A) the identity, if known, of the person to whom is leased or in whose name is listed the
859 telephone line or other facility to which the pen register or trap and trace device is to be attached
860 or applied;

861 (B) the identity, if known, of the person who is the subject of the criminal investigation;

862 (C) the attributes of the communications to which the order applies, including the number
863 or other identifier and, if known, the location of the telephone line or other facility to which the
864 pen register or trap and trace device is to be attached or applied, and, in the case of an order
865 authorizing installation and use of a trap and trace device under subsection (a)(2), the geographic
866 limits of the order; and

867 (D) a statement of the offense to which the information likely to be obtained by the pen
868 register or trap and trace device relates; and

869 (2) shall direct, upon the request of the applicant, the furnishing of information,
870 facilities, and technical assistance necessary to accomplish the installation of the pen register or
871 trap and trace device under section 14.

872 (c) An order issued under this section:

873 (1) shall authorize the installation and use of a pen register or a trap and trace device for a
874 period not to exceed 60 days; and

875 (2) may be granted only upon an application for an order under section 12 of this section
876 after a judicial finding required by subsection (a). Any period(s) of extension shall not exceed
877 60 days.

878 (d) An order authorizing or approving the installation and use of a pen register or a trap
879 and trace device shall direct that:

880 (1) the order be sealed until otherwise ordered by the court;

881 (2) the person owning or leasing the line or other facility to which the pen register or a
882 trap and trace device is attached or applied, or who is obligated by the order to provide assistance
883 to the applicant, not disclose the existence of the pen register or trap and trace device or the
884 existence of the investigation to the listed subscriber, or to any other person, unless or until
885 otherwise ordered by the court; and

886 (3) a violation of this subsection may be punished as a contempt of the issuing or denying
887 court.

888 Section 14. Assistance in Installation and Use of a Pen Register or a Trap and Trace
889 Device.

890 (a) Upon the request of the attorney for the state or an investigative or law enforcement
891 officer authorized to install and use a pen register under this section, a provider of wire or
892 electronic communication service, landlord, custodian, or other person shall furnish such
893 investigative or law enforcement officer forthwith all information, facilities, and technical
894 assistance necessary to accomplish the installation of the pen register unobtrusively and with a
895 minimum of interference with the service that the person so ordered by the court accords the
896 party with respect to whom the installation and use is to take place, if such assistance is directed
897 by a court order as provided in section 13(b)(2) of this section.

898 (b) Upon the request of the attorney for the state or an investigative or law enforcement
899 officer authorized to receive the results of a trap and trace device under this section, a provider of
900 a wire or electronic communication service, landlord, custodian, or other person shall install such
901 device forthwith on the appropriate line or facility and shall furnish such investigative or law
902 enforcement officer all additional information, facilities and technical assistance including
903 installation and operation of the device unobtrusively and with a minimum of interference with
904 the services that the person so ordered by the court accords the party with respect to whom the
905 installation and use is to take place, if such installation and assistance is directed by a court order
906 as provided in section 13(b)(2) of this section. Unless otherwise ordered by the court, the results
907 of the trap and trace device shall be furnished, pursuant to section 13(b) or section 12 of the act,
908 to the attorney for the state or the investigative or law enforcement officer, designated in the
909 court order, at reasonable intervals during regular business hours for the duration of the order.

910 (c) A provider of a wire or electronic communication service, landlord, custodian, or
911 other person who furnishes facilities or technical assistance pursuant to this section shall be
912 reasonably compensated for such reasonable expenses incurred in providing such facilities and
913 assistance.

914 (d) No cause of action shall lie in any court against any provider of a wire or electronic
915 communication service, its officers, employees, agents, or other specified persons for providing
916 information, facilities or assistance in accordance with a court order under this section or request
917 pursuant to section 12 or section 13(b) of this act.

918 (e) A good faith reliance on a court order under this section, a request pursuant to section
919 12 of this section, a legislative authorization, or a statutory authorization is a complete defense
920 against any civil or criminal action brought under this section.

921 (f) Any unexcused failure of the provider of an electronic or wire communications
922 service to comply with a court order under this section or a request pursuant to section 12 may be
923 punished as a contempt of the issuing court.

924 Section 15. Emergency Pen Register and Trap and Trace Device Installation and Use.

925 (a) Notwithstanding any other provision of this section, any investigative or law
926 enforcement officer, specially designated by the attorney for the state, may have installed and use
927 a pen register or trap and trace device if:

928 (1) the officer reasonably determines that an emergency situation exists that involves
929 immediate danger of death or serious bodily injury to any person or the danger of escape of a
930 prisoner; and

931 (2) within 48 hours after the installation has occurred, or begins to occur, an order
932 approving the installation or use is issued in accordance with section 13 of this act.

933 (b) In the absence of an authorizing order, such use shall immediately terminate upon the
934 earlier of obtainment of the information sought, denial of the application, or the lapse of 48 hours
935 since the installation of the pen register or trap and trace device.

936 (c) The knowing installation or use by any investigative or law enforcement officer of a
937 pen register or trap and trace device pursuant to subsection (a) without application for the
938 authorizing order within 48 hours of the installation shall constitute a violation of this section

939 and shall make such person liable to the penalties outlined in section 11(d) of this act, unless a
940 court of competent jurisdiction in its discretion determines that the failure to obtain a timely
941 order pursuant to this section was the result of mitigating or other circumstances.

942 (d) A provider for a wire or electronic service, landlord, custodian, or other person who
943 furnished facilities or technical assistance pursuant to this section shall be reasonably
944 compensated for such reasonable expenses incurred in providing such facilities and assistance.

945 (e) No cause of action shall lie in any court against any provider of wire or electronic
946 communication service, its officers, employees, or agents, landlord, custodian, or other specified
947 person for providing information, facilities, or assistance in accordance with the terms of this
948 section.

949 Section 16. Reports Concerning Intercepted Wire, Oral, or Electronic Communications
950 and Pen Register and Trap and Trace Devices.

951 (a) On the second Friday of January, each year, the attorney general and each district
952 attorney shall report to the general court:

953 (1) a general description of the interceptions made under such order or extension,
954 including:

955 (A) the number of applications made for wiretap warrants during the previous year;

956 (B) the name of the applicant;

957 (C) the number of wiretap warrants issued;

958 (D) the effective period of the wiretap warrants;

959 (E) the number and designation of the offenses for which those wiretap applications were
960 sought, and for each of the designated offenses the following:

961 (i) the number of renewals,

962 (ii) the number of interceptions made during the previous year,

963 (iii) the number of indictments believed to be obtained as a result of those
964 interceptions,

965 (iv) the number of criminal convictions obtained in trials where interception
966 evidence or evidence derived therefrom was introduced

967 (2) the number of pen register orders and orders for trap and trace devices applied for by
968 investigative or law enforcement officers of the state.

969 (b) This report shall be a public document and be made available to the public at the
970 offices of the attorney general and district attorneys. In the event of failure to comply with the
971 provisions of this paragraph any person may compel compliance by means of an action of
972 mandamus.

973 Section 17. Authorized Recovery of Civil Damages.

974 (a) Except as provided in section 3(d), any person whose wire, oral, or electronic
975 communication is intercepted, disclosed, or intentionally used in violation of this section may in
976 a civil action recover from the person or entity, other than the United States, the commonwealth
977 of Massachusetts or any political subdivision thereof, which engaged in that violation such relief
978 as may be appropriate:

979 (b) In an action under this section, appropriate relief includes:

980 (1) damages under subsection (c) and punitive damages in appropriate cases; and

981 (2) a reasonable attorney's fee and other litigation costs reasonably incurred.

982 (c) The court may assess as damages whichever is the greater of:

983 (1) the sum of the actual damages suffered by the plaintiff and any profits made by the

984 violator as a result of the violation; or

985 (2) \$100 a day for each day of violation; or

986 (3) \$1,000.

987 (d) A complete defense against any civil action brought under this section is a good faith

988 reliance on:

989 (1) a court warrant or order, a grand jury subpoena, a legislative authorization, or a

990 statutory authorization;

991 (2) a request of an investigative or law enforcement officer under section 9(j) of this

992 section; or

993 (3) a good faith determination that section 3(d) of this section permitted the conduct

994 complained of.

995 (e) A civil action under this section may not be commenced later than two years after the

996 date upon which the claimant first has a reasonable opportunity to discover the violation

997 Section 18. Severability.

998 If any provisions of this section or application thereof to any person or circumstance is
999 held invalid, the invalidity does not affect other provisions or applications of the section which
1000 can be given effect without the invalid provisions or application, and to this end the provisions of
1001 this section are severable.