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Substance Abuse Trust Fund Report

**Fiscal Year 2015
Fiscal Year 2016 Q1-Q3**

June 2016



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Legislative Mandate

The following report is hereby issued pursuant to Line Item 4512-0210 of Section 2 of Chapter 165 of the Acts of 2014, and Line-Item 1595-4510 of Section 2E of Chapter 46 of the Acts of 2015:

The commissioner of public health shall report quarterly to the executive office of administration and finance, the joint committee on mental health and substance abuse and the house and senate committees on ways and means on: (1) the way funds were spent in the previous quarter, including, but not limited to, an itemized accounting of the goods and services that were procured; (2) an accounting of substance abuse services provided by the fund, broken down by month and type of service, since 2011 through the current quarter; (3) the number of clients served, by month and type of service, by the goods and services procured in the previous quarter; (4) amounts expended by type of service for each month in the prior quarter; and (5) procurement and service goals for the subsequent quarter.”

Executive Summary

The Bureau of Substance Abuse Services (BSAS) within the Department of Public Health is the Commonwealth's single state authority (SSA) to oversee the provision of substance abuse and gambling addiction treatment in Massachusetts. BSAS is responsible for licensing substance abuse and gambling addiction treatment programs, Licensed Alcohol and Drug Counselors (LADC), and certification of addiction prevention programs.

Beyond its regulatory function, BSAS is a vendor of a continuum of addiction services including prevention, intervention, treatment, and recovery support. These services include: licensed treatments such as inpatient detoxification; inpatient post detoxification stabilization; residential rehabilitation; outpatient counseling; and, medication assisted treatment including methadone maintenance and Office Based Opioid Treatment (OBOT). In addition, BSAS funds non-licensed services such as case management for individuals and families and for recovery support.

This report provides an accounting of the initial planned services being procured under the fund and related encumbrances and projected expenditures through the third quarter of 2016.

This report also includes a register of the clients served and the types of services provided between FY2011 and FY2015, inclusive.

FY15 Procurements and Expenditures

At the start of Fiscal Year 2015, BSAS allocated resources to expand capacity within certain existing programs. Many of the initiatives that are being implemented through this fund require the procurement and development of new systems including program design, siting, construction, and staffing. The following table includes the initiatives that are being implemented through the fund in quarter four (4).

Recommended Program/Initiative	Annual Amount*	Procurement Status	Encumber FY15**	Spending 1 st Quarter FY15	Spending 2 nd Quarter FY15 (Cumulative)	Spending 3 rd Quarter FY15 (Cumulative)	Final Spending 4 th Quarter FY15 (Cumulative)	FY15 Balance to roll into FY16
Expand Learn to Cope Chapters across the state	\$300,000	Completed upwardly amended existing contract as of July 2014	\$300,000	\$0.00	\$150,000	\$300,000	\$300,000	\$0
Expand the hours of currently existing Recovery Support Centers	\$350,000	Completed upwardly amended existing contract as of July 2014	\$350,000	\$58,331	\$155,333	\$165,000	\$313,881	\$36,119
Expand the use of injectable naltrexone for persons re- entering the community from correctional facilities ***	\$1,000,000	Completed (ISA to DOC) -- funds transferred to DOC via ISA as of August 2014	\$1,000,000	\$0.00	\$125,000	\$ 450,000.	\$747,292	\$252,708
Develop peer to peer support networks	\$500,000	Completed upwardly amended existing contract as of October 2014	\$348,570	\$0.00	\$100,000	\$162,512	\$248,570	\$251,430
Add three new Recovery Support Centers	\$1,050,000	Completed awarded Sept. 2014	\$551,500	\$137,875	\$275,750	\$ 430,920	\$533,687	\$516,313
Develop a new Acute Treatment Services (ATS) program in Franklin County	\$500,000	Completed awarded November 2014	\$445,000	\$0.00	\$0.00	\$0.00	\$398,365	\$101,635
Develop a new Clinical Stabilization Services (CSS) program in Franklin County	\$350,000	Completed awarded November 2014	\$294,500	\$0.00	\$0.00	\$0.00	\$272,282	\$77,718

Recommended Program/Initiative	Annual Amount*	Procurement Status	Encumber FY15**	Spending 1st Quarter FY15	Spending 2nd Quarter FY15 (Cumulative)	Projected Spending 3 rd Quarter FY15 (Cumulative)	Projected Spending 4th Quarter FY15 (Cumulative)	FY15 Balance to roll into FY16
Add five community based treatment programs for youth and young adults to provide home based counseling services	\$1,000,000	Completed awarded November 2014	\$1,000,000	\$0.00	\$0.00	\$305,944	\$756,023	\$243,977
Increase capacity to provide medication assisted treatment including injectable naltrexone by adding three Office Based Treatment Programs	\$300,000	Completed awarded January 2015	\$1,000,000	\$0.00	\$0.00	\$32,402	\$83,627	\$216,373
Develop a Recovery High School in the Worcester area	\$500,000	Completed awarded January 2015	\$250,000	\$0.00	\$0.00	\$16,346	\$249,076	\$250,924
Pilot regional assessment centers *****	\$1,800,000	On Hold	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$1,800,000
Develop a central navigation system that could be accessed through an 800 number *****	\$750,000	On Hold	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$750,000
Add one residential treatment programs for 16-21 year olds *****	\$660,985	On Hold	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$660,985
Add one family residential treatment program *****	\$820,000	On Hold	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$820,000
Grand Total	\$9,880,985		\$5,539,570	\$196,206	\$806,083	\$1,866,124	\$3,902,803	\$5,978,182
Uncommitted	\$119,015							\$119,015
Total Trust Fund	\$10,000,000							\$,6,097,197

Annual amounts reflect yearly costs for fully implemented programs.

**Encumbered amounts are prorated based on start date and start up process.

***Expenditures projected by DOC.

****Being addressed in Governor Baker's Opioid Working Group.

***** "On Hold" pending Governor's Opioid Working Group.

FY16 Q1 through Q3 Procurements and Expenditures

At the start of Fiscal Year 2016, BSAS allocated resources to expand capacity within certain existing programs. Many of the initiatives that are being implemented through this fund require the procurement and development of new systems including program design, siting, construction, and staffing. The following table includes the initiatives that are being implemented through the fund through quarter three (3).

Recommended Program/Initiative	Annual Amount *	Procurement Status	Encumbered FY16**	Spending 1st Quarter FY16	Spending 2nd Quarter FY 16 (Cumulative)	Spending 3rd Quarter FY16 (Cumulative)	Projected Spending 4th Quarter FY16 (Cumulative)
Expand Learn to Cope Chapters across the state	\$300,000	Completed-upwardly amended existing contract as of July 2014	\$300,000	\$63,559	\$300,000	\$300,000	\$300,000
Expand the hours of currently existing Recovery Support Centers	\$350,000	Completed-upwardly amended existing contract as of July 2014	\$350,000	\$0	\$0	\$2,469	\$350,000
Expand the use of injectable naltrexone for persons re-entering the community from correctional facilities	\$1,000,000	Completed (ISA to DOC) funds transferred to DOC via ISA as of August 2014	\$1,000,000	\$205,821	\$414,363	\$622,905	\$1,000,000
Develop peer to peer support networks	\$500,000	Completed-upwardly amended existing contract as of October 2014	\$500,000	\$91,146	\$256,730	\$422,315	\$500,000
Add three new Recovery Support Centers	\$1,050,000	Completed - awarded September 2014	\$1,050,000	\$196,668	\$435,396	\$674,125	\$1,050,000
Add a new Acute Treatment (ATS) program in Franklin County	\$548,706	Completed - awarded November 2014	\$548,706	\$23,887	\$105,450	\$187,013	\$374,026
Develop a new Clinical Stabilization Services (CSS) program in Franklin County	\$350,000	Completed - awarded November 2014	\$346,178	\$20,557	\$87,977	\$155,397	\$310,794

Extend Services in community-based treatment programs for youth, young adults & families	\$893,294	Completed - awarded November 2014	\$219,507	\$0	\$0	\$0	\$54,877
Increase capacity to provide medication assisted treatment including injectable naltrexone by adding 13 Office Based Treatment Programs	\$1,100,000	Completed awarded January 2015	\$570,271	\$42,840	\$85,679	\$246,924	\$380,181
Opioid Urgent Care Centers***	\$1,800,000	Completed awarded March 2016	\$409,563	\$0	\$0	\$0	\$409,563
****Helpline	\$750,000	Completed awarded March 2016	\$750,000	\$0	\$0	\$0	\$750,000
Recovery Coaches in Emergency Departments	\$1,000,000	Procurement Completed 7/1/16 Start date	\$0	\$0	\$0	\$0	\$0
ESP Project through MassHealth	\$358,000	Completed (ISA to MassHealth) funds transferred to MassHealth via ISA as of April 2016	\$358,000	\$0	\$0	\$0	\$358,000
Develop a Recovery High School in the Worcester area*****	\$0	Moved to a different account	\$177,442	\$58,890	\$177,442	\$177,442	\$177,442
Grand Total	\$10,000,000		\$6,579,668	\$703,366	\$1,863,037	\$2,788,590	\$6,014,883

* Annual amounts reflect yearly costs for fully implemented programs.

** Encumbered amounts can be prorated based on start date and start up process

*** Formerly known as "Pilot Regional Assessment Centers"

**** Formerly known as "Central Navigation System that could be accessed through an 800 number

***** This project was moved to a different account after Q2

***** No longer occurring

Number of Clients served in Substance Abuse Treatment Programs during FY11- FY 15 by Service Type						FY 2015 Q1- Q4			
Service Types	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2015 Q1	FY 2015 Q2	FY 2015 Q3	FY 2015 Q4
Jail Diversion Case Mgt./ Component [^]	84	161	190	240	244	98	102	109	134
Family Focused Intervention & Care Coordination	40	197	371	600	596	291	288	297	320
Family Residential	321	320	293	313	295	106	110	114	144
Housing Options Program	14	14	14	14	14	14	14	14	14
Intensive Outpatient Treatment	11	11	11	11	11	11	11	11	11
Jail Diversion –Residential Component ^{^^}	80	159	163	174	193	40	48	50	82
Low Threshold Housing	310	347	374	380	377	356	355	352	358
Methadone Treatment	20,613	21,154	22,132	22,809	21,677	6,924	6,736	6,801	7,585
OBOT	2,673	2,757	2,820	2,852	3,098	2,137	2,132	2,181	2,409
Other	502	308	238	194	185	184	184	184	183
Outpatient Counseling	27,668	26,741	25,941	25,601	25,944	14,987	14,888	15,333	17,707
Permanent Housing	1,319	1,381	1,273	1,253	1,255	1,139	1,139	1,140	1,175
Recovery High School		148	247	245	194	159	161	168	180
Recovery Home	6,309	6,488	6,572	6,466	6,171	1,624	1,576	1,629	2,470
Recovery Support Services	672	1,229	1,869	2,549	2,985	2,327	2,305	2,361	2,654
Residential/Section 35				961	874	224	242	261	354
Section 35	3,098	3,330	3,101	3,739	3,818	467	436	520	1,096
Social Model House	861	840	788	719	708	212	215	217	319
State Parole Board	2,727	1,765	1,732	1,775	1,501	432	397	407	631
Tewksbury Stabilization	810	686	717	677	573	94	77	92	163
Therapeutic Community	696	829	896	801	828	214	199	198	316
Transitional Housing	1,110	1,122	1,108	1,055	1,009	732	738	733	799
Transitional Support Services	3,972	3,900	3,734	4,388	4,308	495	493	576	1,249
TSS/Section 35				58	419	65	46	40	100
Unknown	1,668	1,644	1,767	1,778	1,560	1,510	1,509	1,511	1,520
Youth Intervention	109	144	130	133	127	82	86	91	97
Youth Residential	418	411	430	417	384	74	67	79	129
Youth Stabilization	893	822	850	844	752	94	84	108	224
Summary **	147,478	146,850	149,595	154,857	141,319	47,752	46,714	48,848	65,906

Data Source: Prepared by The Office of Data Analytics and Decision Support, BSAS/MDPH on November 24, 2015 with data as of October 13, 2015.

*Cells with 1 to 5 cases are suppressed.

[^]Since June 2015 data submission of this data, service type Earmark Case Management has been changed to Jail Diversion - Case Management Component

^{^^}Since June 2015 data submission of this data, service type Jail Diversion has been changed to Jail Diversion - Residential Component

**Column counts add up to more than the summary because clients who were served in multiple service types in a given time period would be counted only once in the summary.

*** Row counts add up to more than the summary because the clients can still be active in the subsequent month or thereafter. This issue is more apparent for programs with longer lengths of stay.

Note: FY15 numbers reported in previous reports may be lower than reported in the above table due to late data submissions.

Number of Clients served in Substance Abuse Treatment Programs during FY11- FY 15 by Service Type						FY 16 Q1-Q3		
Drug Court Programs	9	7	7					
Jail Diversion Case Mgt./ Component^	84	161	190	240	244	92	95	79
Family Focused Intervention & Care Coordination	40	197	371	600	596			
Family Residential	321	320	293	313	295	144	138	135
Housing Options Program	14	14	14	14	14	14	14	14
Intensive Outpatient Treatment	11	11	11	11	11	11	11	11
Jail Diversion –Residential Component ^^	80	159	163	174	193	79	63	74
Low Threshold Housing	310	347	374	380	377	358	359	358
Methadone Treatment	20,613	21,154	22,132	22,809	21,677	8,230	7,516	7,069
OBOT	2,673	2,757	2,820	2,852	3,098	2,542	2,637	2,752
Other	502	308	238	194	185	183	183	183
Outpatient Counseling	27,668	26,741	25,941	25,601	25,944	17,282	16,933	16,915
Permanent Housing	1,319	1,381	1,273	1,253	1,255	1,176	1,173	1,166
Recovery High School		148	247	245	194	107	113	120
Recovery Home	6,309	6,488	6,572	6,466	6,171	2,538	2,622	2,704
Recovery Support Services	672	1,229	1,869	2,549	2,985	2,729	2,837	2,920
Residential/Section 35				961	874	226	227	176
Section 35	3,098	3,330	3,101	3,739	3,818	1,118	1,036	1,008
Social Model House	861	840	788	719	708	298	331	349
State Parole Board	2,727	1,765	1,732	1,775	1,501	614	532	549
Tewksbury Stabilization	810	686	717	677	573	182	181	184
Therapeutic Community	696	829	896	801	828	379	397	391
Transitional Housing	1,110	1,122	1,108	1,055	1,009	786	782	764
Transitional Support Services	3,972	3,900	3,734	4,388	4,308	1,361	1,216	1,009
TSS/Section 35				58	419	127	160	142
Unknown	1,668	1,644	1,767	1,778	1,560	1,513	1,518	1,514
Youth Intervention	109	144	130	133	127	94	73	79
Youth Residential	418	411	430	417	384	116	100	94
Youth Stabilization	893	822	850	844	752	181	220	195
Summary **	147,478	146,850	149,595	154,857	141,319	66,691	64,928	62,998

Data Source: Prepared by The Office of Data Analytics and Decision Support, BSAS/MDPH on May 5, 2016 with data as of April 8, 2016.

*Cells with 1 to 5 cases are suppressed.

^Since June 2015 data submission of this data, service type Earmark Case Management has been changed to Jail Diversion - Case Management Component.

^^Since June 2015 data submission of this data, service type Jail Diversion has been changed to Jail Diversion - Residential Component.

** Column counts add up to more than the summary because clients who were served in multiple service types in a given time period would be counted only once in the summary.

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Conclusion

The above tables show that between FY2011 and FY2015 there was an increase in clients served in many of the core substance abuse treatment service types.

For example, clients served in:

- Acute Treatment Services increased by 10.5%
- Clinical Stabilization Services increased by 14%
- Transitional Support Services increased by 8.5%
- Office Based Opioid Treatment increased by 16%

Increases in the number of clients served between FY2011 and FY2015 were observed in additional service types including Family Focused Intervention, Recovery Support Services, Jail Diversion, Section 35, Low-Threshold Housing, and Therapeutic Community programs.

With additional funds directed toward these services from the Substance Abuse Trust Fund account, BSAS expects to see additional increases in the number of clients served in the future.

NOTE: The closure of the Long Island Bridge in October 2014, resulting in 228 substance abuse treatment beds being taken offline, will likely delay this increase in clients until those services are restored.

